

February 7, 2017

Kyle Smith
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2 Journal Square
Jersey City NJ 07036

Reference: **FR2017-0109-0141/E**

Org Id :114212

REVIEW LETTER

1. What Is a Self-Employed Retirement Plan? (#RT53)

Rule: FIN 2210

2 pages

Fee: \$125

Total Fee: \$125

Attention: Kyle Smith

Our review is based on the understanding that in its final form, this communication will prominently disclose the name of the member, pursuant to FINRA Rule 2210(d)(3) as represented by your firm in the explanation uploaded with this submission.

The above-referenced material appears consistent with applicable standards.

Reviewed by,

Kristina B. Shaw
Senior Analyst

kbs

NOTE: *This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as “Reviewed by FINRA” or “FINRA Reviewed”; however, there must be no statement or implication that this communication has been approved by FINRA.*



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